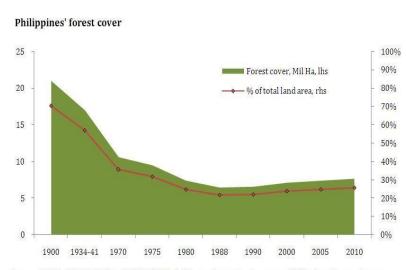


SUSTAINING LIVES AND THE ENVIRONMENT THROUGH LOCAL COLLABORATIVE ENGAGEMENT: CASE OF SELECTED CONSERVATION FARMING VILLAGES IN THE PHILIPPINES

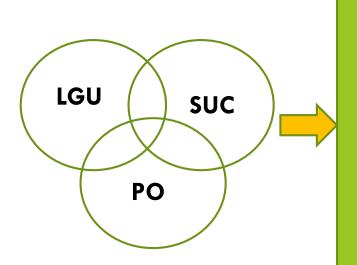
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INTRODUCTION

- Half (15M) of the total land area of the Philippines is classified as forestlands
- Sustainable natural resources management remains a quest
 - Sustain the natural resources
 - Sustain human lives
 24M Filipinos in the upland areas (Espiritu et al 2010)



THE CFV PROGRAM



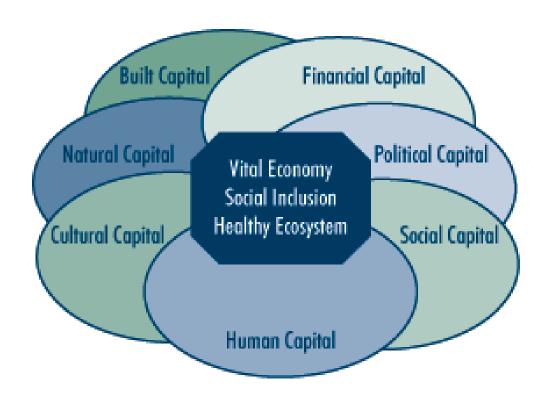
- Capacity-building programs
- Establishment of agroforestry model farms
- Information service and networking service organizations
- Marketing arrangements for livelihood support mechanisms
- Local multisectoral partnership

Sustainable
livelihoods and
natural
resources
management

RESEARCH QUESTIONS

- Did CFV make a difference in the lives of the upland farmers and the environmental conditions of the selected sites?
- What is the level or status of the indicators of sustainable communities: social, natural, human, physical, financial, political and cultural capital?
- What factors contribute to the current levels of the different capitals/assets of these communities?

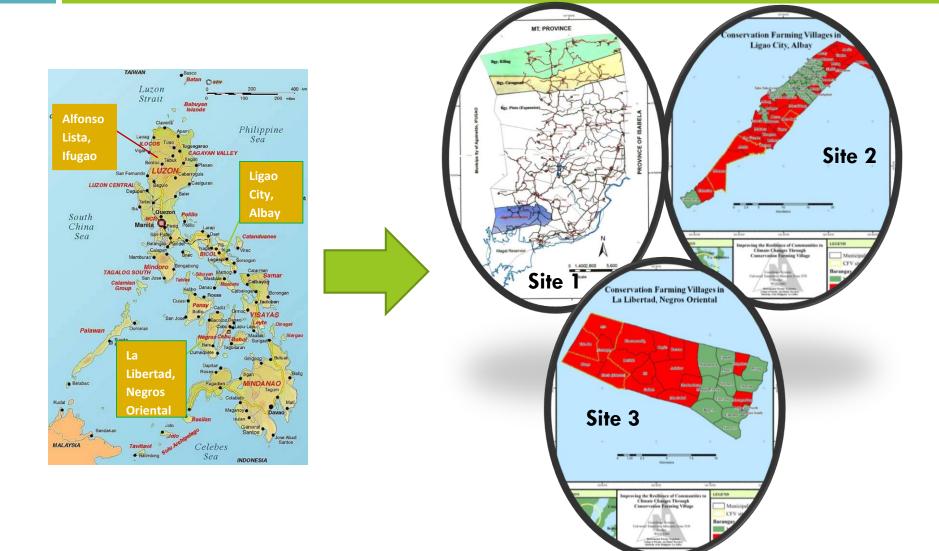
THEORETICAL FRAMEWORK



COMMUNITY CAPITALS FRAMEWORK

(Cornelia and Flora, 2008)

THE STUDY SITES



METHODOLOGY

- Household survey (n=230), focus group discussion (12 sessions with a total of 147 participants), key informant interview, direct observation and secondary data gathering
- Assessment of the status of the different capitals/assets by farmers
- The respondents scored each indicator under each capital 1 for improved; 0 for stable or no change; and -1 for declined.
- The level of different capitals was determined using the following scales: -1.00 to -0.50 as very low; -0.51 - 0.00 as low; 0.01-0.50 as moderate; and 0.51 to 1.00 as high level.

RESULTS



SOCIOECONOMIC CONDITIONS

Socioecono	%	
Mean age	54	
Civil status	Married	90
Educational level	Elementary education	42
Mean HH size	5	
Income sources	Farming	100
	Farming + non-farm	40
Average annual income	Php10000-20000 (\$200-400)	37
	>Php50000	32
Mean farm size	1.5 hectares	

BIOPHYSICAL CONDITIONS

Biophysical conditions		%
Topography	pography Rolling	
	Steep	32
	Flat	32
Source of water	Rainfed	100
Creek/Spring		43

Social capital

INDICATORS	Site 1	Site 2	Site 3
Communication and	0.83	0.78	1.00
interaction of the			
community members			
Participation to the	0.68	0.81	1.00
community activities such			
as bayanihan			
Partnership with external	0.25	0.76	1.00
organizations			
TOTAL MEAN SCORE	0.59	0.78	1.00
	High	High	High

- Strong bonding between the community members
- Most of the members were natives to the community
- Active membership to CFV Farmers' Association

Human capital

INDICATORS	Site 1	Site 2	Site 3
Local knowledge in farming	0.16	0.32	0.41
Training in agriculture/NRM	0.06	0.33	0.36
Access to NRM-related info	0.00	0.06	0.74
Sources of information	0.06	0.12	0.68
No. of household members involved in farming	0.03	0.22	0.05
Knowledge on NRM policies	0.01	0.31	0.90
MEAN SCORE	0.09	0.22	0.45
	Low	Moderate	Moderate

- Training programs organized by CFV and LGUs in Sites 2 and 3
- Active farmer-volunteers in Sites 2 and 3
- Active involvement of housewives in farming in Site 2

Natural capital

INDICATORS	Site 1	Site 2	Site 3
Soil fertility	0.16	0.37	0.13
Farm productivity	0.10	0.46	0.42
Less occurrence of soil erosion	0.06	0.60	0.27
Access to water resources	0.13	0.05	0.97
MEAN SCORE	0.008 Low	0.27 Moderate	0.45 Moderate

- Sustained adoption of soil and water conservation measures in Sites 2 and 3
- Contour hedgerows
- Crop diversification/agroforestry
- No cutting of trees policy in Site 3

Examples of SWCM









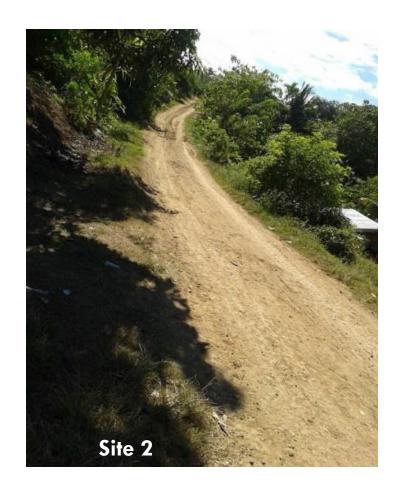
Physical capital

INDICATORS	Site 1	Site 2	Site 3
Farm tools/equipment	0.03	0.22	0.15
Post-harvest facilities	0.06	0.03	0.06
Transportation facilities	0.00	0.25	0.75
Farm-to-market road	0.10	0.22	-0.07
Market outlets	0.70	0.01	0.80
Distance of market	0.10	0.19	0.10
outlets			
MEAN SCORE	0.17	0.15	0.22
	Moderate	Moderate	Moderate

- Lack of post-harvest facilities and infrastructure
- Providing farmers' access to market by LGU in Site 3
- Conduct of upland farmers' market day in Site 3
- Assured market for corn in Site 1

Farm-to-market roads





Financial capital

INDICATORS	Site 1	Site 2	Site 3
Sources of household	0.20	0.28	0.54
income			
Income from farming	0.93	0.40	0.40
Income from non-farm	0.13	0.02	0.28
Household savings	0.16	0.00	0.22
MEAN SCORE	0.35	0.17	0.16
	Moderate	Moderate	Moderate

- High market price and assured market of corn (animal feeds) in Site 1
- Commercial production vs subsistence
- Mean farm size of 2.06 in Site 1; 1.05 in Site 2; 1.5 in Site 3

Political capital

INDICATORS	Site 1	Site 2	Site 3
Existing laws and	-0.70	0.50	0.82
policies conservation			
farming			
Existing local programs	-0.83	0.57	0.65
and activities related to			
conservation farming			
MEAN SCORE	-0.76	0.54	0.73
	Very Low	High	High

- Mainstreaming CFV program in the development programs of LGUs in Sites 2 and 3; regular budget allocation
- Institution of local policies related to adoption of SWCM and agroforestry in Sites 2 and 3

Cultural capital

INDICATORS	Site 1	Site 2	Site 3
Practice of local traditions	-0.93	-0.12	0.81
in the community			
Cultural beliefs and	-0.86	0.13	0.41
practices in agricultural			
production			
MEAN SCORE	-0.90	0.05	0.61
	Very Low	Low	High

Sustaining local practices and traditions

Sustainability level

COMMUNITY CAPITALS	Site 1	Site 2	Site 3
Social	0.59	0.78	1.00
Human	0.04	0.15	0.34
Natural	0.04	0.15	0.44
Financial	0.35	0.17	0.16
Physical	0.16	0.07	0.25
Political	-0.76	0.54	0.73
Cultural	-0.90	0.05	0.61
MEAN SCORE	-0.06	0.27	0.50
ADJECTIVAL	LOW	MODERATE	MODERATE
RATING			

CONCLUSIONS

- CFV made a difference in the socioeconomic and ecological dimensions of natural resources management in the three upland farming communities
- The three upland farming communities vary in the levels of community assets/capitals; challenge of striking a balance among the seven capitals
- Sustainability of upland farming communities does not solely rely on the driver of change
- Local collaborative engagement is a key factor towards the sustainability of the upland farming communities
 - Interplay between the local government units and the community
 - Interplay between and among community members

IMPLICATIONS AND RECOMMENDATIONS

- An interplay of the community resources, particularly the local government units and the existing structures and processes within the community is necessary to establish a balance among the seven community capitals.
- The interventions and programs that should be developed and implemented towards promoting sustainable upland farming communities should be holistic such that the seven community assets, namely: human, social, natural, physical, financial, cultural and political assets could be properly invested